

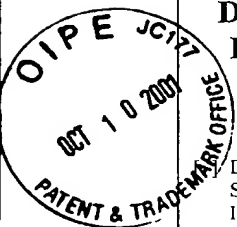
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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN PATENT APPLICATION**
(37 CFR 1.63)

Declaration Submitted With Initial Filing OR [X] Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(d)) required)

Attorney Docket Number	099593/8
First Named Inventor	Philippe Buhannic et al.
COMPLETE IF KNOWN	
Application Number	09/891,217
Filing Date	June 26, 2001
Group Art Unit	Unknown
Examiner Name	unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SECURITIES TRADE STATE TRACKING METHOD AND APPARATUS
(Title of the Invention)

the specification of which

[] is attached hereto
OR

[X] was filed on (MM/DD/YYYY) June 26, 2001 As United States Application Number or PCT International Application Number 09/891,217 And was amended on (MM/DD/YYYY) _____ (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	No
			[] [] [] []	[] [] [] []	[] [] [] []

[] Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	[X] Additional provisional application Numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/214,256	June 26, 2000	

[Page 1 of 2]

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DECLARATION – Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365© of any PT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application are listed on a supplemental priority date sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: [X] Customer Number 22204

OR

[X] Registered practitioner(s) name/registration number listed below.

Name	Registration Number	Name	Registration Number
Daniel W. Sixbey	20,932	Daniel S. Song	43,143
Stuart J. Friedman	24,312	Marc S. Kaufman	35,212
Charles M. Leedom, Jr.	26,477	James E. Howard	39,715
David S. Safran	27,997	Corinne R. Gorski	34,339
Thomas W. Cole	28,290	Jason H. Vick	45,285
Donald R. Studebaker	32,815	Carolyn Baumgardner	41,345
Jeffrey L. Costellia	35,483	Luan C. Do	38,434
Tim L. Brackett, Jr.	36,092		
Eric J. Robinson	38,285		

Direct all correspondence to: [X] Customer Number 22204

Name: Marc S. Kaufman

Firm: NIXON PEABODY LLP

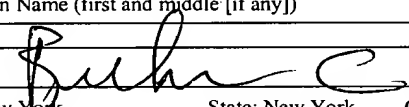
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City: McLean State: VA ZIP: 22102

Country: United States Telephone: (703) 790-9110 FAX: (703) 883-0370

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: [] A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any])	Family Name or Surname
Philippe	Buhannic
Inventor's Signature: 	Date: 8/1/01
Residence: City: New York State: New York Country: USA	Citizenship: FRENCH
Post Office Address: 65 Central Park West #17A	
City: New York State: New York ZIP: 10023	Country: USA

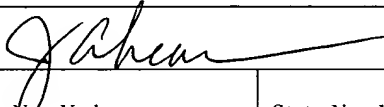
[X] Additional inventors are being named on the _____ Supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → [+]

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page ____ of ____
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Joseph		Family Name Or Surname Ahearn	
Inventor's Signature 		Date 8/1/01	
Residence: City New York	State New York	Country USA	Citizenship USA
Mailing Address 347 West 57 th Street, Apt. 10E			
City New York	State New York	ZIP 10019	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name Or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name Or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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DECLARATION – Supplemental Priority Data Sheet

Additional foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
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			Additional provisional applications:		
Application Number		Filing Date (MM/DD/YYYY)			
60/298,083		June 15, 2001			
Additional U.S. Applications:					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		

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